UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/600,787	06/19/2003	David Cappers Steere	50037.185US01	5952		
	7590 05/23/200 & GOULD (MICROSC	EXAMINER				
P.O. BOX 2903	,	ANYA, CHARLES E				
MINNEAPOLI	S, MN 55402-0903		ART UNIT	PAPER NUMBER		
			2194			
			MAIL DATE	DELIVERY MODE		
			05/23/2008	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary		Application No.		Applicant(s)					
			10/600,787		STEERE ET AL.				
			Examiner		Art Unit				
			Charles E. Aı	nya	2194				
 Period for	The MAILING DATE of this commun Reply	ication appe	ars on the co	over sheet with the c	orrespondence ac	ldress			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3/ MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).									
Status									
1) ∑ F	Responsive to communication(s) file	ed on <i>22 Feb</i>	bruary 2008						
·	Responsive to communication(s) filed on <u>22 February 2008</u> . This action is FINAL . 2b) This action is non-final.								
′ —		<i>7</i> —			secution as to the	e merits is			
,—	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.								
Dispositio	on of Claims								
4)⊠ (Claim(s) <u>1-64</u> is/are pending in the a	application.							
·—	4a) Of the above claim(s) is/are withdrawn from consideration.								
	5) Claim(s) is/are allowed.								
·	6)⊠ Claim(s) <u>1-64</u> is/are rejected.								
· · · · · · · · · · · · · · · · · · ·	Claim(s) is/are objected to.								
-	Claim(s) are subject to restric	ction and/or	election requ	uirement.					
Applicatio									
	he specification is objected to by th	o Evaminor							
•	he drawing(s) filed on is/are:			objected to by the F	Evaminer				
•	Applicant may not request that any obje		•	-					
	Replacement drawing sheet(s) including					ED 1 101/d)			
			-			, ,			
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.									
Priority ur	nder 35 U.S.C. § 119								
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some color None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 									
2) Notice 3) Informa	s) of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (Fation Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date	PTO-948)	4) 5) 6)	=	ite				

Art Unit: 2195

DETAILED ACTION

1. Claims 1-64 are pending in this application.

Claim Objections

2. Claims 28-39 are objected to because of the following informalities:

It appears claims 28 and 34 include typographical errors. Specifically, on lines 9 and 10 of claims 28 and 34 respectively, the "a" before the "object" seems to have been used in error.

For the purpose of this office action the Examiner would change "a" to "an".

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

- 3. Claims 19-27 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.
- 4. Claim 19 is directed to a distributed file system, and is software per se. The distributed file system is not a process, a machine, a manufacture or a composition of matter.

In contrast, a claimed computer-readable storage medium encoded with instruction for executing a distributed file system or a computer system having a processor for executing instruction in a distributed file system is a computer element

Art Unit: 2195

with defined structural and functional interrelationships. The structural and functional interrelationship allows the claim to be classified as a machine, the functionality realized and thus statutory. Accordingly, appropriate correction or amendment is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 51-64 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The following terms lack antecedent basis:

i. "the edit" on line 10 of claim 51.

For the purpose of this office action the Examiner would change "the edit" to "the modification"

ii. "the object" on line 10 of claim 51.

For the purpose of this office action the Examiner would change "the object" to "the original object"

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and

Page 4

the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 6. Claims 1-32,34-38 and 40-49 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,721,740 B1 to Skinner et al. in view of U.S. Pat. No. 6,263,360 B1 to Arnold et al.
- 7. As to claim 1, Skinner teaches computer-implemented method for a client to interact with a server (figure 3), the computer-implemented method comprising: creating a cached object from an original object ("...change is sent to those application components..." Col. 8 Ln. 30 – 32, Col. 13 Ln. 64 – 67), the original object being managed by the server ("...data object..." Col. 14 Ln. 21 – 29); wherein the client interacts with a plurality of cached objects that are created on the client from objects managed by the server (Client-side Application Logic and GUI Component 301A Col. 14 Ln. 49 – 60); establishing a notification bond with the server, the notification bond enabling the client to obtain a notification from the server in response to an object related event associated with the original object ("...interest object..." Col. 3 Ln. 1 - 19, Col. 8 Ln. 23 – 29, "...observer list..." Col. 9 Ln. 20 – 23, Server Interest Registry 501/503 Col. 11 Ln. 5 – 13, figure 6 Col. 12 Ln. 56 – 67, Col. 13 Ln. 1 – 16); the object related event is associated with an edit of the original object ("...data object...undergoes modification, for example, through creation, deletion or alteration..." Col. 8 Ln. 13 - 32, "...changed data object..." Col. 13 Ln. 18 – 30, Ln. 59 – 67); wherein each object includes a notification bond ("...interest object..." Col. 2 Ln. 54 – 65, Col. 3 Ln. 1 – 19,

Art Unit: 2195

Col. 8 Ln. 23 – 29); and updating each of the cached objects with the original object after a change is made to the original object ("...update management component..." Col. 8 Ln. 33 – 43).

Skinner is silent with reference to the notification bond remaining persistent through a reboot of the client and server.

Arnold teaches the notification bond remaining persistent through a reboot of the client and server ("...when lost links 210 are re-established..." Col. 6 Ln. 20 - 43, Col. 16 Ln. 55 - 67, Col. 17 Ln. 1 - 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Skinner with the teaching of Arnold because the teaching of Arnold would improve the system of Skinner by allowing a client in a low bandwidth client/server computing system to have access to updated, timely information associated with a server (Arnold Col. 2 Ln. 23 – 30).

- 8. As to claim 2, Skinner teaches the computer-implemented method of claim 1, wherein the object related event includes when the original object has been modified ("...update management component..." Col. 8 Ln. 33 43).
- 9. As to claim 3, Skinner teaches the computer-implemented method of claim 1, wherein establishing the notification bond is performed in response to creating the cached object ("...interest object..." Col. 3 Ln. 1 19, Col. 8 Ln. 23 29, "...observer

Art Unit: 2195

list..." Col. 9 Ln. 20 – 23, Server Interest Registry 501/503 Col. 11 Ln. 5 – 13, figure 6 Col. 12 Ln. 56 – 67, Col. 13 Ln. 1 – 16).

- 10. As to claim 4, Skinner teaches the computer-implemented method of claim 1, further comprising: obtaining a notification from the server; and updating the cached object using the notification ("...update management component..." Col. 8 Ln. 33 43).
- 11. As to claim 5, Skinner teaches the computer-implemented method of claim 1, wherein obtaining the notification includes retrieving a notification log containing the notification (LiveInterest 401 Col. 9 Ln. 31 36).
- 12. As to claim 6, Skinner teaches the computer-implemented method of claim 1, further comprising: requesting a notification log containing a notification; and synchronizing the cache object with the original object using the notification ("...modification..." Col. 2 Ln. 54 65, "Update notification..." Col. 3 Ln. 1 11, Col. 10 Ln. 25 47, Col. 11 Ln. 5 30).

Arnold teaches reconnecting with the server after a disconnected period of time ("...when lost links 210 are re-established..." Col. 6 Ln. 20 - 43, Col. 16 Ln. 55 - 67, Col. 17 Ln. 1 - 6).

13. As to claim 7, Skinner teaches the computer-implemented method of claim 1, further comprising maintaining states associated with the notification bond

Art Unit: 2195

("...registry..." Col. 3 Ln. 1 – 24, Col. 10 Ln. 25 – 47, Col. 8 Ln. 23 – 43, "...server interest registry...interest object..." Col. 11 Ln. 5 – 45).

- 14. As to claim 8, Skinner teaches the computer-implemented method of claim 7, wherein the states are maintained in a persistent medium ("...registry..." Col. 3 Ln. 1 24, Col. 8 Ln. 23 43, Col. 10 Ln. 25 47, "...server interest registry...interest object..." Col. 11 Ln. 5 45).
- 15. As to claim 9, Skinner teaches the computer-implemented method of claim 8, wherein the states include a bond number that uniquely identifies the notification bond ("...unique identifier...object ID or serial number..." Col. 8 Ln. 52 59).
- 16. As to claim 10, Skinner teaches the computer-implemented method of claim 8, wherein the states include a aggregate bond number that is unique to the client ("...set of unique object identifiers..." Col. 8 Ln. 52 58).
- 17. As to claim 11, Arnold teaches the computer-implemented method of claim 8, further comprising reestablishing the states on the client after a restart ("...when lost links 210 are re-established..." Col. 6 Ln. 20 43, Col. 16 Ln. 55 67, Col. 17 Ln. 1 6).

Art Unit: 2195

18. As to claim 12, Arnold teaches the computer-implemented method of claim 11, further comprising synchronizing the states on the client and corresponding states on the server ("...when lost links 210 are re-established..." Col. 6 Ln. 20 - 43, Col. 16 Ln. 55 - 67, Col. 17 Ln. 1 - 6).

- 19. As to claims 13,19,40 see the rejection of claim 1 above.
- 20. As to claim 14, see the rejection of claim 2 above.
- 21. As to claim 15, see the rejection of claim 3 above.
- 22. As to claim 16, Skinner teaches the computer-implemented method of claim 13, further comprising: determining an object related event that was not caused by the client ("...received from...clients ...or...servers..." Col. 17 Ln. 14 18); creating a notification in accordance with the notification bond; and providing the notification to the client ("...interest registry is traversed to determine those application components that have registered..." Col. 8 Ln. 23 43, "...notification is passed to each interest object registered..." Col. 11 Ln. 1 26).
- 23. As to claim 17, Skinner teaches the computer-implemented method of claim 13, further comprising: determining an object related event that was not caused by the client ("...received from...clients ...or...servers..." Col. 17 Ln. 14 18); creating a notification

Art Unit: 2195

in accordance with the notification bond (Col. 3 Ln. 1 – 11, "...interest registry is traversed to determine those application components that have registered..." Col. 8 Ln. 23 – 43, "...notification is passed to each interest object registered..." Col. 11 Ln. 1 – 26) and recording the notification in a notification log (LiveCollectedUpdates 402 Col. 9 Ln. 38 – 55, LiveCollectedUpdates Object 512 Col. 12 Ln. 25 – 42).

- 24. As to claim 18, Skinner teaches the computer-implemented method of claim 17, further comprising: establishing a connection with the client; and sending the notification log to the client (Col. 14 Ln. 1 7, Client-Side Communication Management Components 305A/Server-Side Communication Management Components 305B Col. 16 Ln. 21 65).
- 25. As to claim 20, Skinner teaches the distributed file system of claim 19, wherein the bond manager is configured to provide notifications to the clients in accordance with the notification bonds (Col. 3 Ln. 1 11, "...interest registry is traversed to determine those application components that have registered..." Col. 8 Ln. 23 43, "...notification is passed to each interest object registered..." Col. 11 Ln. 1 26).
- 26. As to claim 21, Skinner teaches the distributed file system of claim 19, wherein the server further comprises a file system manager configured to manage the original objects (Application Server 307 Col. 14 Ln. 21 31) and wherein the bond manager comprises a filter component configured to determine object related events by

Art Unit: 2195

monitoring communication traffic associated with the file system manager ("...update notifications are filtered..." Col. 10 Ln. 25 – 35).

- 27. As to claim 22, Skinner teaches the distributed file system of claim 19, wherein the bond manager is configured to maintain a bond table and wherein the bond table includes states that relate each notification bond with an original object and a client to whom the notification is to be provided ("...registry..." Col. 3 Ln. 1 24, "...interest registry..." Col. 8 Ln. 23 43, Col. 10 Ln. 25 47, "...server interest registry..." Col. 11 Ln. 5 45).
- 28. As to claim 23, Skinner teaches the distributed file system of claim 19, wherein the bond manager is configured to maintain a notification log and wherein the notification log includes notifications for the client (LiveCollectedUpdates Object 512 Col. 12 Ln. 25 42, Update Management Component 304A Col. 15 Ln. 35 49).
- 29. As to claim 24, Skinner teaches the distributed file system of claim 19, further comprising: a client configured to create a cached object associated with an original object managed by the server (Client-Side Cache Component 303A Col. 15 Ln. 20 27), the client including a notification handler configured to maintain a notification bond associated with the original object in conjunction with the server (Update Management Component 304A Col. 15 Ln. 35 49).

Art Unit: 2195

30. As to claim 25, Skinner teaches the distributed file system of claim 19, wherein the notification handler is configured to obtain from the server a notification log associated with the notification bond and to update the cached object in accordance with the notification log ("...update management components..." Col. 14 Ln. 1 - 7, Update Management Component 304A Col. 15 Ln. 35 - 49).

- 31. As to claim 26, Skinner teaches the distributed file system of claim 25, wherein the notification log includes notifications associated with a plurality of notification bonds (LiveCollectedUpdates 402 Col. 9 Ln. 38 55, LiveCollectedUpdates Object 512 Col. 12 Ln. 25 42).
- 32. As to claim 27, Skinner teaches the distributed file system of claim 19, wherein the notification handler is configured to maintain a bond table and wherein the bond table includes states that relate each notification bond with a cached object and a server that manages an original object corresponding to the cached object ("...registry..." Col. 3 Ln. 1 24, "...interest registry..." Col. 8 Ln. 23 43, Col. 10 Ln. 25 47, "...server interest registry..." Col. 11 Ln. 5 45).
- 33. As to claim 28, Skinner teaches a computer-readable medium encoded with a data structure, comprising: a first indexing data field containing object identifiers, each object identifier uniquely identifying an object that is managed by a server ("...data object...identity..." Col. 2 Ln. 54 65, "...object ID or serial number..." Col. 8 Ln. 52 –

Art Unit: 2195

67, Col. 17 Ln. 41 – 47); and a second data field containing entries, each entry being indexed to an object identifier in the first indexing data field and containing states associated with a notification bond between the server and a client that caches the object identified by the object identifier ("...interest object..." Col. 2 Ln. 54 – 65, "...interest..." Col. 8 Ln. 52 – 67); wherein the first field and the second data field are created by the server and wherein the server accesses the first indexing data field and the second data field to determine what objects or the client require notification in response to an object related edit event ("...data object...undergoes modification, for example, through creation, deletion or alteration..." Col. 8 Ln. 13 - 32, "...changed data object..." Col. 13 Ln. 18 – 30, Ln. 59 – 67, "...update notification..." Col. 2 Ln. 54 – 65, "...the criteria is tested against attributes of the data object..." Col. 8 Ln. 52 – 67).

Skinner is silent with reference to a notification bond that remains persistent through a reboot.

Arnold teaches a notification bond that remains persistent through a reboot ("...when lost links 210 are re-established..." Col. 6 Ln. 20 - 43, Col. 16 Ln. 55 - 67, Col. 17 Ln. 1 - 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Skinner with the teaching of Arnold because the teaching of Arnold would improve the system of Skinner by allowing a client in a low bandwidth client/server computing system to have access to updated, timely information associated with a server (Arnold Col. 2 Ln. 23 – 30).

Art Unit: 2195

34. As to claim 29, Skinner teaches the computer-readable medium of Claim 28, wherein each object identifier in the first indexing data field includes a file path associated with an object ("...data object...identity..." Col. 2 Ln. 54 – 65, "...object ID or serial number..." Col. 8 Ln. 52 – 67, Col. 17 Ln. 41 – 47).

- 35. As to claim 30, Skinner teaches the computer-readable medium of Claim 29, wherein each object identifier includes a hash of the file path ("...hash table..." Col. 17 Ln. 41 47).
- 36. As to claim 31, Skinner teaches the computer-readable medium of Claim 28, wherein each entry of the second data field includes a bond number that uniquely identifies a notification bond ("...interest object..." Col. 2 Ln. 54 65).
- 37. As to claim 32, Skinner teaches the computer-readable medium of Claim 28, wherein each entry of the second data field includes a client identifier that identifies a client associated with a notification bond ("...interest object..." Col. 2 Ln. 54 65).
- 38. As to claims 34 and 35, see the rejection of claims 28 and 31 respectively.
- 39. As to claim 36, Skinner teaches the computer-readable medium of Claim 34, wherein each entry of the second data field includes an original object identifier that

Art Unit: 2195

identifies an original object ("...data object...identity..." Col. 2 Ln. 54 – 65, "...object ID or serial number..." Col. 8 Ln. 52 – 67, Col. 17 Ln. 41 – 47).

- 40. As to claim 37, Skinner teaches the computer-readable medium of Claim 34, wherein each entry of the second data field includes a cached object identifier that identifies a cached object associated with an original object (Col. 15 Ln. 41 48).
- 41. As to claim 38, see the rejection of claim 10 above.
- 42. As to claim 41, Skinner teaches the distributed file system of claim 40, further comprising: means for obtaining a notification from the server (Col. 8 Ln. 23 43, Col. 9 Ln. 56 62, "...transmission of an update notification..." Col. 10 Ln. 35 39, Col. 11 Ln. 18 26, Col. 1 5, Col. 14 Ln. 1 7); and means for updating the cached object using the notification (Col. 14 Ln. 1 7, Col. 15 Ln. 20 27, Col. 16 Ln. 5 20).
- 43. As to claim 42, Skinnner teaches the distributed file system of claim 40, further comprising: means for requesting a notification log containing a notification (LiveCollectedUpdates 402 Col. 9 Ln. 38 55, Col. 15 Ln. 20 27); and means for synchronizing the cache object using the notification ("Update notifications…" Col. 3 Ln. 7 11, Step 707 Col. 13 Ln. 35 39, "…updates…" Col. 16 Ln. 5 20).

Art Unit: 2195

Arnold teaches means for reconnecting with the server after a disconnected period of time ("...when lost links 210 are re-established..." Col. 6 Ln. 20 - 43, Col. 16 Ln. 55 - 67, Col. 17 Ln. 1 - 6).

- 44. As to claim 43, Skinner teaches the distributed file system of claim 40, further comprising: means for determining an object related event ("When modifications..." Col. 2 Ln. 61 65, Col. 8 Ln. 33 35, "When data object is changed..." Col. 11 Ln. 18 21); means for creating a notification in accordance with the notification bond (Col. 3 Ln. 1 11, "...interest registry is traversed to determine those application components that have registered..." Col. 8 Ln. 23 43, "...notification is passed to each interest object registered..." Col. 11 Ln. 1 26); and means for providing the notification to the client (Col. 15 Ln. 20 27).
- 45. As to claim 44, Skinner teaches the distributed file system of claim 40, further comprising: means for determining an object related event ("When modifications..." Col. 2 Ln. 61 65, Col. 8 Ln. 33 35, "When data object is changed..." Col. 11 Ln. 18 21); means for creating a notification in accordance with the notification bond (Col. 8 Ln. 30 32, Col. 9 Ln. 26 37); and means for recording the notification in a notification log (LiveCollectedUpdates 402 Col. 9 Ln. 38 55, LiveCollectedUpdates Object 512 Col. 12 Ln. 25 42).

Art Unit: 2195

46. As to claim 45, Skinner teaches the distributed file system of claim 40, further comprising: means for establishing a connection with the client; and means for sending the client the notification log ("...transmitted..." Col. 14 Ln. 1 – 7, Client-Side Communication Management Components 305A/Server-Side Communication Management Components 305B Col. 16 Ln. 21 – 65).

- 47. As to claim 46, Skinner teaches the distributed file system of claim 40, further comprising: means for the client to drop the notification bond ("...deleting observers..." Col. 9 Ln. 20 23).
- 48. As to claim 47, Skinner teaches the distributed file system of claim 40, further comprising: means for the server to drop the notification bond ("...deleting observers..." Col. 9 Ln. 20 23).
- 49. As to claim 48, Skinner teaches the distributed file system of claim 40, further comprising: means for the server to drop all notification bonds associated with the client ("...deleting observers..." Col. 9 Ln. 20 23).
- 50. As to claim 49, Skinner teaches the distributed file system of claim 48, further comprising: means for the server to reset clear states associated with the dropped notification bonds ("...setting of a "changed" flag..." Col. 11 Ln. 31 40).

Art Unit: 2195

51. Claims 33 and 51-64 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,721,740 B1 to Skinner et al. in view of U.S. Pat. No. 6,263,360 B1 to Arnold et al., as applied to claim 28 above and further in view of U.S. Pub. No. 2003/0051068 A1 to Eldridge et al.

52. As to claim 33, Arnold and Skinner are silent with reference to the computerreadable medium of Claim 28, wherein each entry of the second data field includes a type identifier that identifies a type associated with a notification bond.

Eldridge teaches the computer-readable medium of Claim 28, wherein each entry of the second data field includes a type identifier that identifies a type associated with a notification bond ("...notification type..." page 4 paragraph 0052).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Arnold and Skinner with the teaching of Eldridge because the teaching of Eldridge would improve the system of Arnold and Skinner by providing a technique for data synchronization among processes that allow for a particular type of notification (Eldridge page 4 paragraph 0052).

53. As to claim 51, Skinner teaches a computer-implemented method for synchronizing cached objects maintained by a client with the corresponding original objects maintained by a server (figure 3), the computer-implemented method comprising: creating cached objects from original objects ("...data object or set of data objects..." Col. 2 Ln. 54 – 65, "...change is sent to those application components..."

Art Unit: 2195

Col. 8 Ln. 30 - 32); establishing a notification bond with the server for each of the cached objects, the notification bonds enabling the client to obtain a notification from the server in response to an object related event associated with the original object ("...interest object..." Col. 2 Ln. 54 – 65, Col. 3 Ln. 1 – 19, Col. 8 Ln. 23 – 29, "...observer list..." Col. 9 Ln. 20 – 23, Server Interest Registry 501/503 Col. 11 Ln. 5 – 13, figure 6 Col. 12 Ln. 56 – 67, Col. 13 Ln. 1 – 16); the object related event is associated with a modification of the original object ("...data object...undergoes modification, for example, through creation, deletion or alteration..." Col. 8 Ln. 13 - 32, "...changed data object..." Col. 13 Ln. 18 – 30, Ln. 59 – 67); wherein each object includes a notification bond ("...interest object..." Col. 2 Ln. 54 – 65, Col. 3 Ln. 1 – 19, Col. 8 Ln. 23 – 29); persistently maintaining, by the server, server bond states related to the original objects, the server bond states corresponding to notification bonds associated with the original objects ("...interest object..." Col. 8 Ln. 23 – 29, "...observer list..." Col. 9 Ln. 20 – 23, Server Interest Registry 501/503 Col. 11 Ln. 5 – 13, figure 6 Col. 12 Ln. 56 - 67, Col. 13 Ln. 1 - 16), each notification bond enabling the client to obtain a notification from the server when at least one of the original object has been modified such that a copy of the original object may be synchronized and maintained on the client after the object has been edited by a user associated with the server ("...data objects undergo modifications, for example, through creation, deletion, or alteration..." Col. 8 Ln. 13 – 22, "When a change is made...interest registry is traversed..." Col. 8 Ln. 23 – 43); and persistently maintaining, by the client, client bond states corresponding to the server bond states ("...same as or similar to..." Col. 11 Ln. 5 - 11).

Art Unit: 2195

Skinner is silent with reference to the modification to the original object is one of an edit to a word processing document, an edit to a spreadsheet document or an edit to an image file and the notification bond remaining persistent through a reboot of the client and server.

Arnold teaches the notification bond remaining persistent through a reboot of the client and server ("...when lost links 210 are re-established..." Col. 6 Ln. 20 - 43, Col. 16 Ln. 55 - 67, Col. 17 Ln. 1 - 6).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Skinner with the teaching of Arnold because the teaching of Arnold would improve the system of Skinner by allowing a client in a low bandwidth client/server computing system to have access to updated, timely information associated with a server (Arnold Col. 2 Ln. 23 – 30).

Eldridge teaches the modification to the original object as one of an edit to a word processing document, an edit to a spreadsheet document or an edit to an image file ("...selected events...event types..." page 1 paragraph 0010).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Arnold and Skinner with the teaching of Eldridge because the teaching of Eldridge would improve the system of Arnold and Skinner by providing a technique for data synchronization among processes that allow for a particular type of notification (Eldridge page 4 paragraph 0052).

Art Unit: 2195

54. As to claim 52, Arnold teaches the computer-implemented method of claim 51, further comprising reestablishing the server bond states after a server reboot or restart ("...sync operation..." Col. 5 Ln. 36 – 59, Notification Module 132 Col. 10 Ln. 9 – 28).

- 55. As to claim 53, Arnold teaches the computer-implemented method of claim 52, further comprising recovering notifications associated with the notification bonds after a server reboot or restart ("...when lost links 210 are re-established..." Col. 6 Ln. 20 43, Col. 16 Ln. 55 67, Col. 17 Ln. 1 6).
- 56. As to claim 54, Arnold teaches the computer-implemented method of claim 51, further comprising reestablishing the client bond states after a client reboot or restart ("...when lost links 210 are re-established..." Col. 6 Ln. 20 43, Col. 16 Ln. 55 67, Col. 17 Ln. 1 6).
- 57. As to claim 55, Arnold teaches the computer-implemented method of claim 54, further comprising recovering notifications associated with the notification bonds after a server reboot or restart ("...when lost links 210 are re-established..." Col. 6 Ln. 20 43, Col. 16 Ln. 55 67, Col. 17 Ln. 1 6).
- 58. As to claim 56, Skinner teaches the computer-implemented method of claim 51, further comprising determining, by the server, to drop a notification bond ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40,

Art Unit: 2195

"...successive refinement..." Col. 12 Ln. 44 – 55); performing, by the server, an operation to drop the notification bond ("...refined..." Col. 3 Ln. 12 – 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 – 40, "...successive refinement..." Col. 12 Ln. 44 – 55); and providing, by the server, a notification to the client for dropping the notification bond ("...receive notifications..." Col. 10 Ln. 8 – 10).

- 59. As to claim 57, Skinner teaches the computer-implemented method of claim 56, wherein performing the operation commits the server to dropping the notification bond ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40, "...successive refinement..." Col. 12 Ln. 44 55).
- 60. As to claim 58, Skinner teaches the computer-implemented method of claim 57, wherein performing the operation is completed before providing the notification to the client ("...receive notifications..." Col. 10 Ln. 8 10).
- 61. As to claim 59, Skinner teaches the computer-implemented method of claim 51, further comprising determining, by the server, to drop all notification bonds associated with the client ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40, "...successive refinement..." Col. 12 Ln. 44 55); performing, by the server, an operation to drop the notification bonds ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40, "...successive

Art Unit: 2195

refinement..." Col. 12 Ln. 44 – 55); and providing, by the server, a notification to the client for dropping the notification bonds ("...receive notifications..." Col. 10 Ln. 8 – 10).

- 62. As to claim 60, Skinner teaches the computer-implemented method of claim 59, wherein performing the operation commits the server to dropping the notification bonds ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40, "...successive refinement..." Col. 12 Ln. 44 55).
- 63. As to claim 61, Skinner teaches the computer-implemented method of claim 60, wherein performing the operation is completed before providing the notification to the client ("...receive notifications..." Col. 10 Ln. 8 10).
- 64. As to claim 62, Skinner teaches the computer-implemented method of claim 51, further comprising determining, by the client, to drop a notification bond ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40, "...successive refinement..." Col. 12 Ln. 44 55); performing, by the client, an operation to drop the notification bond ("...refined..." Col. 3 Ln. 12 16, "...deleting observers..." Col. 9 Ln. 20 22, Col. 11 Ln. 31 40, "...successive refinement..." Col. 12 Ln. 44 55); and requesting the server to drop the notification bond ("...receive notifications..." Col. 10 Ln. 8 10).

Art Unit: 2195

65. As to claim 63, Skinner teaches the computer-implemented method of claim 62, wherein performing the operation commits the client to dropping the notification bond ("...receive notifications..." Col. 10 Ln. 8 – 10).

- 66. As to claim 64, Skinner teaches the computer-implemented method of claim 63, wherein performing the operation is completed before requesting the server to drop the notification bond ("...receive notifications..." Col. 10 Ln. 8 10).
- 67. Claim 39 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,721,740 B1 to Skinner et al. in view of U.S. Pat. No. 6,263,360 B1 to Arnold et al., as applied to claims 1,40 or 51 above and further in view of U.S. Pat. No. 6,941,326 B2 to Kadyk et al.
- 68. As to claim 39, Arnold and Skinner are silent with reference to the computerreadable medium of Claim 34, further comprising a third data field that includes a notification log offset.

Kadyk teaches the computer-readable medium of Claim 34, further comprising a third data field that includes a notification log offset ("...token..." Col. 9 Ln. 6 - 63, Col. 12 Ln. 1 - 3).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Arnold and Skinner with the teaching of Kadyk because the teaching of Kadyk would improve the system of Arnold and Skinner

Art Unit: 2195

by providing a technique for promptly identifying the starting point for a next data synchronization (Kadyk Col. 9 Ln. 62 – 63).

- 69. Claim 50 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,721,740 B1 to Skinner et al. in view of U.S. Pat. No. 7,099,926 B1 to Ims et al.
- 70. As to claim 50, Skinner teaches a computer-implemented method for maintaining cached objects that correspond to original objects managed by a server (figure 3), the computer-implemented method comprising: creating cached objects from original objects ("...data object or set of data objects..." Col. 2 Ln. 54 – 65, "...change is sent to those application components..." Col. 8 Ln. 30 - 32); establishing a notification bond with the server for each of the cached objects, the notification bonds enabling the client to obtain a notification from the server in response to an object related event associated with the original object ("...interest object..." Col. 2 Ln. 54 – 65, Col. 3 Ln. 1 – 19, Col. 8 Ln. 23 – 29, "...observer list..." Col. 9 Ln. 20 – 23, Server Interest Registry 501/503 Col. 11 Ln. 5 – 13, figure 6 Col. 12 Ln. 56 – 67, Col. 13 Ln. 1 – 16); obtaining notifications from the server about changes made to at least one of the original objects during the period of time ("...update notification..." Col. 2 Ln. 66 – 67, Col. 3 Ln. 1 – 24); and synchronizing a cache object corresponding to the at least one original object using the notifications, without synchronizing all of the cached objects ("Update notifications are sent only..." Col. 3 Ln. 1 – 11).

Art Unit: 2195

Skinner is silent with reference to the notification bond remains persistent through a reboot of the client and server and each object includes a notification bond and reestablishing a communication link between the client and the server after a period of time without a communication link.

Ims teaches each object includes the notification bond remains persistent through a reboot of the client and server ("...creates and registers objects..." Col. 20 Ln. 30-35) and reestablishing a communication link between the client and the server after a period of time without a communication link ("...refresh upon connection..." Col. 20 Ln. 35-42).

It would have been obvious to one of ordinary skill in the art the time the invention was made to modify the system of Arnold with the teaching of Ims because the teaching of Ims would improve the system of Arnold by providing a technique for asynchronously synchronizing or refreshing data object after a reconnection between a client and a server (Ims Col. $5 \, \text{Ln. } 32 - 50$).

Response to Arguments

Applicant's arguments with respect to claims 1-64 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Art Unit: 2195

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charles E. Anya whose telephone number is 571-272-3757. The examiner can normally be reached on 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An can be reached on 571-272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Meng-Ai An/ Supervisory Patent Examiner, Art Unit 2195

cea.